



....PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Petro Estakhri et al. Atty Docket #: 38979-11C4(New Docket No.)  
Serial No.: 09/620,544 Group Art Unit : 2188  
Filing Date: 07/21/2000 Examiner: Reginald G. Bragdon

Title: "MOVING SECTORS WITHIN A BLOCK OF INFORMATION IN A FLASH  
MEMORY MASS STORAGE ARCHITECTURE"

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT


Applicant submits herewith the references listed on the attached form PTO/SB/08A substitute for form 1449A/PTO of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patent ability, nor an admission that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

DATE: September 21, 2004

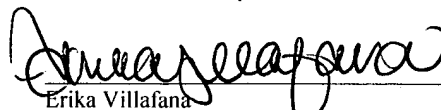
  
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38979-11C4

(Supplemental Information Disclosure Statement)

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PTO/SB/08A (10-96)  
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# **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 1 of 6

Complete if Known

Application Number	09/620,544
Filing Date	07/21/2000
First Named Inventor	Petro Estakhri
Group Art Unit	2188
Examiner Name	Reginald G. Bragdon
Attorney Docket Number	38979-11C5(New Docket No.)

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STATEMENT BY APPLICANT***Complete if Known*

<b>Application Number</b>	09/620,544
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<b>Examiner Name</b>	Reginald G. Bragdon
<b>Attorney Docket Number</b>	38979-11C5( <b>New Docket No.</b> )

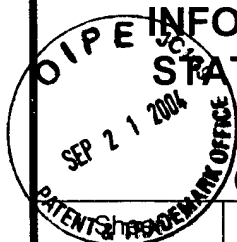
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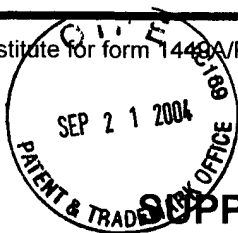
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Group Art Unit	2188
Examiner Name	Reginald G. Bragdon
Attorney Docket Number	38979-11C5(New Docket No.)

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Sheet	4	of	6	Application Number	09/620,544
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Petro Estakhri

Group Art Unit

2188

Examiner Name

Reginald G. Bragdon

Attorney Docket Number

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## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
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	C20	SAM WEBER, "Flash" modules' portability, reusability, small size valued for a host of APPs-Consumer formats flocking to "flash", article, 7/22/96, 9 pgs., Electronic Engineering Times, n. 911, CMP Media	
	C21	TOSHIBA, MOS MEMORY (NON-VOLATILE), 1995, Data Book	
	C22	STAN BAKER, BUT INTEGRATION CALLS FOR HARDWARE, SOFTWARE CHANGES: Flash: designers face the dawn of a new memory age, article, 9/12/03, 5 pgs., Electronic Engineering Times, 1990, N. 619, 41, CMP Media	
	C23	TOSHIBA, TOSHIBA MOS DIGITAL INTEGRATED CIRCUIT SILICON GATE CMOS, (TC58NS512DC) 3/21/2001, 43 pgs., Data Book	
	C24	TOSHIBA CORPORATION, SMIL (Smartmedia Interface Library) Hardware Edition Version 1.00, July 1, 2000, 36 pgs., Data Book	
	C25	TOSHIBA, TOSHIBA MOS DIGITAL INTEGRATED CIRCUIT SILICON GATE, (TC58S12FT), 3/05/2001, 43 pgs., Data Book	
	C26	TOSHIBA, TOSHIBA MOS DIGITAL INTEGRATED CIRCUIT SILICON GATE, (TC58DVM92A1FT00), 1/10/2003, 44 pgs., Data Book	
	C27	TOSHIBA, TOSHIBA MOS DIGITAL INTEGRATED CIRCUIT SILICON GATE, (TC58DVG02A1FT00), 1/10/2003, 44 pgs., Data Book	
	C28	TOSHIBA, TOSHIBA MOS DIGITAL INTEGRATED CIRCUIT SILICON GATE, (TH58100FT), 3/05/2001, 43 pgs., Data Book	
	C29	35NONVOLATILE MEMORY TECHNOLOGY REVIEW, A Time of Change, Proceedings 1993 Conference, June 22-24, 1993, Linthicum Heights, MD USA	
	C30	TOSHIBA CORPORATION, SMIL (Smartmedia Interface Library) Software Edition Version 1.00, July 1, 2000, 136 pgs., Data Book	
	C31	TOSHIBA, MOS MEMORY (NON-VOLATILE), 1996, 279 pgs., Data Book	
	C32	DAN AUCLAIR, Optimal Solid State Disk Architecture For Portable Computers, symposium, July 9, 1991, 7 pgs., SunDisk Corporation	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.



....PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Petro Estakhri et al.  
Serial No.: 09/620,544  
Filing Date: 07/21/2000

Attorney Docket #: 38979-11C4  
Group Art Unit : 2188  
Examiner: Bragdon, R.

Title: "MOVING SECTORS WITHIN A BLOCK OF INFORMATION IN A FLASH  
MEMORY MASS STORAGE ARCHITECTURE"

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT


Applicant submits herewith the references listed on the attached form PTO/SB/08A substitute for form 1449A/PTO of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patent ability, nor an admission that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

DATE: June 16, 2004

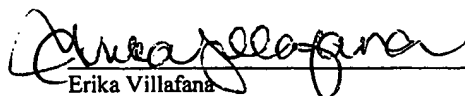
  
Maryam Imam  
Reg. No: 38190

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111 North Market Street, Suite 1010  
San Jose, CA 95113  
Tel. No: (408) 271-8752/3  
Fax. No: (408) 271-8886

CERTIFICATE OF MAILING (37CFR 1.8 (a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on June 16, 2004 with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: June 16, 2004

  
Erika Villafana



PTO/SB/08A (10-96)  
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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....PATENT

## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Estakhri et al.  
 Serial No.: 09/620,544  
 Filing Date: 07/21/2000

Attorney Docket #: 38979-11CPA2  
 Group Art Unit : 2186  
 Examiner: Bragdon, R.

Title: "MOVING SECTORS WITHIN A BLOCK OF INFORMATION IN A FLASH  
 MEMORY MASS STORAGE ARCHITECTURE."

Assistant Commissioner for Patents  
 Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

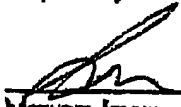
Applicant submits herewith the references listed on the attached form PTO/SB/08A substitute for form 1449A/PTO of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patent ability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

DATE: September 12, 2002

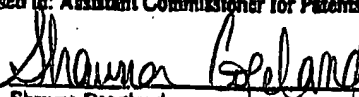
  
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## CERTIFICATE OF MAILING (37CFR 1.8 (a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on Shawna Copeland with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: September 12, 2002

  
 Shawna Copeland

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38979-11CPA2

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PATENT  
Attorney Docket No.: LEXAR-11C1

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on September 27, 1999 in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231.

*Michelle White*  
Michelle White

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Petro. Estakhri et al.

Serial No.: 09/264,340

Filing Date: March 8, 1999

For: MOVING SECTORS WITHIN A BLOCK OF  
INFORMATION IN A FLASH MEMORY  
MASS STORAGE ARCHITECTURE

Examiner: Not yet known

Group Art Unit: 2751

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

X This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

— (1) It is being filed within 3 months of the application filing date  
— OR —

— (2) It is being filed within 3 months of entry of a national stage  
— OR —

X (3) It is being filed before the mail date of the first Office Action on the merits.

— 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

— a certification as specified in §1.97(e) is provided below; or

— a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

**PATENT**


Attorney Docket No.: LEXAR-11C1

— 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(l)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

Respectfully submitted,

Date: 9/27/99

By:   
Maryam Imam  
Reg. No. 38,190

LAW OFFICES OF IMAM & ASSOCIATES  
Two North Second Street, Suite 1100  
San Jose, CA 95113  
408-271-8752  
408-271-8727 fax



INFORMATION DISCLOSURE  
CITATION

PTO-1449

ATTY. DOCKET NO.  
LEXAR-11C1

APPLICATION NO.  
09/264,340

APPLICANT  
Estakhri et al.

FILING DATE  
3/8/99

GROUP  
2751

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A1	4,210,959	7/1/80	Wozniak	364	200	
	A2	4,355,376	10/19/82	Gould	365	230	
	A3	4,405,952	9/20/83	Slakmon	360	49	
	A4	4,450,559	5/22/84	Bond et al.	371	10	
	A5	4,456,971	6/26/84	Fukuda et al.	364	900	
	A6	4,498,146	2/5/85	Martinez	364	900	
	A7	4,525,839	6/25/85	Nozawa et al.	371	38	
	A8	4,616,311	10/7/86	Sato	364	200	
	A9	4,654,847	3/31/87	Dutton	371	10	
	A10	4,710,871	12/1/87	Belknap et al.	364	200	
	A11	4,746,998	5/24/88	Robinson et al.	360	72.1	
	A12	4,748,320	5/31/88	Yorimoto et al.	235	492	
	A13	4,757,474	7/12/88	Fukushi et al.	365	189	
	A14	4,774,700	9/27/88	Satoh et al.	369	54	
	A15	4,800,520	1/24/89	Iijima	364	900	
	A16	4,896,262	1/23/90	Wayama et al.	364	200	
	A17	4,914,529	4/3/90	Bonke	360	48	
	A18	4,920,518	4/24/90	Nakamura et al.	365	228	
	A19	4,924,331	5/8/90	Robinson et al.	360	72.1	
	A20	4,953,122	8/28/90	Williams	364	900	
	A21	5,070,474	12/3/91	Tuma et al.	395	500	
	A22	5,168,465	12/1/92	Harari	257	320	
	A23	5,198,380	3/30/93	Harari	437	43	
	A24	5,200,959	4/6/93	Gross et al.	371	21.6	
	A25	5,226,168	7/6/93	Kobayashi et al.	395	800	

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 PATENT & TRADEMARK OFFICE  
 INFORMATION DISCLOSURE  
 CITATION

PTO-1449

ATTY. DOCKET NO.  
LEXAR-11C1APPLICATION NO.  
09/264,340APPLICANT  
Estakhri et al.FILING DATE  
3/8/99GROUP  
2751

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A26	5,268,318	12/7/93	Harari	437	43	
	A27	5,268,870	12/7/93	Harari	365	218	
	A28	5,270,979	12/14/93	Harari et al.	365	218	
	<del>A29</del>	<del>5,270,979</del>	<del>12/14/93</del>	<del>Harari et al.</del>	<del>365</del>	<del>218</del>	
	A30	5,293,560	3/8/94	Harari	365	185	
	A31	5,297,148	3/22/94	Harari et al.	371	10.2	
	A32	5,303,198	4/12/94	Adachi et al.	365	218	
	A33	5,315,541	5/24/94	Harari et al.	365	63	
	A34	5,337,275	8/9/94	Garner	365	189.01	
	A35	5,341,330	8/23/94	Wells et al.	365	185	
	A36	5,341,339	8/23/94	Wells	365	218	
	A37	5,353,256	10/4/94	Fandrich et al.	365	230.03	
	A38	5,357,475	10/18/94	Hasbun et al.	365	218	
	A39	5,369,615	11/29/94	Harari et al.	365	218	
	A40	5,388,083	2/7/95	Assar et al.	365	218	
	A41	5,396,468	3/7/95	Harari et al.	365	218	
	A42	5,418,752	5/23/95	Harari et al.	365	218	
	A43	5,422,842	6/6/95	Cerne et al.	365	185	
	A44	5,428,621	6/27/95	Mehrotra et al.	371	21.4	
	A45	5,430,859	7/4/95	Norman et al.	395	425	
	<del>A46</del>	<del>5,430,859</del>	<del>7/4/95</del>	<del>Norman et al.</del>	<del>395</del>	<del>425</del>	
	A47	5,434,825	7/18/95	Harari	365	185	
	A48	5,438,573	8/1/95	Mangan et al.	371	10.3	
	A49	5,471,478	11/28/95	Mangan et al.	371	10.3	
	A50	5,479,638	12/26/95	Assar et al.	395	430	



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 INFORMATION DISCLOSURE  
 CITATION

PTO-1449

 ATTY. DOCKET NO.  
 LEXAR-11C1

 APPLICATION NO.  
 09/264,340

 APPLICANT  
 Estakhri et al.

 FILING DATE  
 3/8/99

 GROUP  
 2751

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A51	5,485,595	1/16/96	Assar et al.	395	430	
	A52	5,495,442	2/27/96	Cerneia et al.	365	185.03	
	A53	5,504,760	4/2/96	Harari et al.	371	40.1	
	A54	5,508,971	4/16/96	Cerneia et al.	365	185.23	
	A55	5,524,230	6/4/96	Sakaue et al.	395	430	
	A56	5,532,962	7/2/96	Auclair et al.	365	201	
	A57	5,532,964	7/2/96	Cerneia et al.	365	189.09	
	A58	5,534,456	7/9/96	Yuan et al.	437	43	
	A59	5,535,328	7/9/96	Harari et al.	395	182.05	
	A60	5,544,118	8/6/96	Harari	365	218	
	A61	5,544,356	8/6/96	Robinson et al.	395	600	
	A62	5,554,553	9/10/96	Harari	437	43	
	A63	5,563,825	10/8/96	Cerneia et al.	365	185.18	
	A64	5,566,314	10/15/96	DeMarco et al.	395	430	
	A65	5,568,439	10/22/96	Harari	365	218	
	A66	5,583,812	12/10/96	Harari	365	185.33	
	A67	5,592,420	1/7/97	Cerneia et al.	365	185.18	
	A68	5,642,312	6/24/97	Harari	365	185.33	
	A69	5,663,901	9/2/97	Wallace et al.	365	52	
	A70	5,693,570	12/2/97	Cerneia et al.	437	205	
	A71	5,712,819	1/27/98	Harari	365	185.29	
	A72	5,719,808	2/17/98	Harari et al.	365	185.33	
	A73	5,778,418	7/7/98	Auclair et al.	711	101	

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PATENT & TRADEMARK OFFICE  
INFORMATION DISCLOSURE  
CITATION

PTO-1449

ATTY. DOCKET NO.  
LEXAR-11C1APPLICATION NO.  
09/264,340APPLICANT  
Estakhri et al.FILING DATE  
3/8/99GROUP  
2751

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	Translation	
								Yes	No
	B1	4-332999 (A)	19.11.1992	JP	Masakazu Namekawa	G11C	29/00	X	
	B2	58-215794 (A)	15.12.1983	JP	Noriyuki Tanaka	G11C	17/00	X	
	B3	58-215795 (A)	15.12.1983	JP	Noriyuki Tanaka	G11C	17/00	X	
	B4	59-45695 (A)	7.9.1982	JP	Yuuichi Furukawa	G11C	17/00	X	
	B5	59-162695 (A)	13.9.1984	JP	Toshio Ninomiya(1)	G11C	17/00	X	
	B6	60-212900	25.10.1985	JP	Tsuneaki Higashi	G11C	29/00	X	
	B7	61-96598 (A)	15.5.1986	JP	Yutaka Haniyu	G11C	17/00	X	
	B8	62-283496 (A)	09.12.1987	JP	Shinichi Nakada	G11	17/00	X	
	B9	62-283497 (A)	09.12.1987	JP	Shinichi Nakada	G 11 C	17/00	X	
	B10	63-183700 (A)	29.7.1988	JP	Kazuo Ishikawa	G 11 C	17/00	X	
	B11	0 557 723	08.1.1987	AU	Kevin John Burke	G 11 C	5/00	X	
	B12	0 220 718 A2	06.5.1987	EP	Yorimoto et al.	G 06 F	15/40	X	
	B13	0 243 503 A1	04.11.1987	EP	Fukushima et al.	G 11 B	20/10	X	
	B14	0 424 191 A2	24.04.1991	EP	Stephen Gross	G 06 F	11/00	X	
	B15	0 489 204 A1	10.06.1992	EP	Kevin Lloyd-Jones	G 11 C	16/06	X	
	B16	0 522 780 A2	13.01.1993	EP	Sakaue et al.	G 06 F	3/06	X	
	B17	0 544 252 A2	02.06.1993	EP	Matsui et al.	G 11 C	16/06	X	
	B18	0 686 976 A2	13.12.1995	EP	Matsui et al.	G 11 C	16/06	X	
	B19	93 01908	27.08.1993	FR	Hiroyuki et al.	G 06 F	12/02	X	
	B20	84/00628	16.02.1984	WO	Maria Martinez	G 06 F	11/20, 13/00	X	

APPLICATION NO.  
09/264,340

FILING DATE  
3/8/99

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2751

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Book - Computer Architecture and Parallel Processing, Kai Hwang & Faye A. Briggs, McGraw-Hill Book Co., © 1984, Page 64.

C2 Magazine - "State of the Art: Magnetic VS. Optical Store Data in a Flash", by Walter Lahti and Dean McCarron, Byte magazine dated 11/1/90 , 311, Vol. 15, No. 12.

C3	Magazine - Technology Updates, Integrated Circuits, "1-Mbit flash memories seek their role in system design", Ron Wilson, Senior Editor, Computer Design magazine 28 (1989) March 1, No.5, Tulsa OK, US, pages 30 and 32..
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C4	1992 Symposium of VLSI Circuits. Digest of Technical Papers, "EEPROM for Solid State Disk Applications"; S. Mehoura et al., SunDisk Corporation, Santa Clara, CA. R. W. Gregor et al., AT&T Bell Laboratories, Allentown, PA. Pages 24 and 25.
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DATE CONSIDERED

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